



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO: 10/631,886
FILING DATE: 07/11/03
APPLICANT: James W. Cady et al
TITLE: Low Profile Chip Scale Stacking System and Method
EXAMINER: TBA
GROUP ART UNIT: 2831

REQUEST FOR CORRECTED FILING RECEIPT

To: Filing Receipt Corrections
Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Filing Receipt Corrections:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

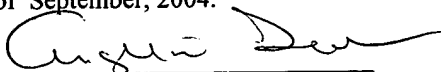
There are errors with respect to the following data which has been incorrectly entered:

1) The correct filing date is July 11, 2003 as evidenced by the U.S. Post Office Express Mail Receipt and Return Postcard (copies attached). The correction is not due to any error by the applicant and no fee is due.

1

Certificate of Express Mail

I hereby certify that this paper or fee is being deposited with the United States Postal Service via "Express Mail Post Office Addressee," Mailing Label No. EV434215576US, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 13th day of September, 2004.


Angelia Dedek

2) Applicant paid filing fees based on large entity status (Copy of Fee Transmittal for FY 2003 attached), however, the U.S. Patent Office subsequently credited the difference in fees between a small and large entity to deposit account no. 50-0897. Applicant herewith submits a Large Entity Statement and authorizes the debit of deposit account 50-0897, upon which the undersigned is authorized to sign, for the applicable fees.

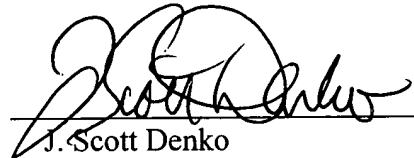
I am the attorney of record for the above-referenced application.

Respectfully submitted,

ANDREWS KURTH LLP

Sept. 13, 2004
Date

By:



J. Scott Denko
Patent Reg. No. 37,606
111 Congress Ave., Suite 1700
Austin, Texas 78701
(512) 320-9200 Telephone
(512) 320-9292 Facsimile

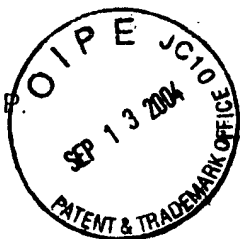


UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/631,886	07/14/2003	2831	827	254-094-CIP-5	7	35	7

J. Scott Denko
 Andrews & Kurth, L.L.P.
 Suite 1700
 111 Congress Ave.
 Austin, TX 78701



CONFIRMATION NO. 1819

FILING RECEIPT



OC000000011478435

Date Mailed: 12/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

James W. Cady, Austin, TX;
 Julian Partridge, Austin, TX;
 James Douglas Wehrly JR., Austin, TX;
 James Wilder, Austin, TX;
 David L. Roper, Austin, TX;
 Jeff Buchle, Austin, TX;

Assignment For Published Patent Application

Staktek Group, L.P.;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/453,398 06/03/2003
 which is a CIP of 10/005,581 10/26/2001 PAT 6,576,992
 This application 10/631,886
 is a CIP of 10/457,608 06/09/2003
 which is a CIP of 10/005,581 10/26/2001 PAT 6,576,992

Foreign Applications

If Required, Foreign Filing License Granted: 12/11/2003

Projected Publication Date: 03/18/2004

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Low profile chip scale stacking system and method

Preliminary Class

361

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PATENT

On the date stamped hereon, the following was
received in the U.S. Patent and Trademark Office:

Applicant: J. Cady et al Docket No. 254-094-CIP-5
Assignee: Startek Resp. Atty: DENKO
Filed Date: 7.11.03 Date Mailed: 7.11.03 22241 U.S. PTO
Serial No.: _____ Date Due: 10/631886
Title: Low Profile Chip Scale Stacking
System & method 
07/14/03

<input checked="" type="checkbox"/> Transmittal Letter	<input checked="" type="checkbox"/> Check No. <u>2</u>
<input checked="" type="checkbox"/> Application PCT <input type="checkbox"/> Utility <input checked="" type="checkbox"/> Conversion <input type="checkbox"/>	<input type="checkbox"/> Response to
Prov <input type="checkbox"/> Div <input type="checkbox"/> Cont <input type="checkbox"/> CPA <input type="checkbox"/> Reissue <input type="checkbox"/>	<input type="checkbox"/> Extension of
Spec <u>15</u> pages; Claims <u>10</u> pages	<input type="checkbox"/> Petition for
Drawings <u>7</u> pages; Abstract <u>1</u> pages	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Declaration <input type="checkbox"/> POA	<input type="checkbox"/> Formal Draft
<input type="checkbox"/> Form 1449, IDS	<input type="checkbox"/> Maintenance
<input checked="" type="checkbox"/> Assignment w/ Recordation Cover Sheet	<input type="checkbox"/> PCT Request <u>pages</u>
<input type="checkbox"/> Cert. of Mailing, First Class	<input type="checkbox"/> Chapter II Demand for Substantive Examination
<input checked="" type="checkbox"/> Authorization to Charge Deposit Account	<input type="checkbox"/> Response to Invitation to Correct
50-0897	
<input checked="" type="checkbox"/> Cert. of Express Mail No. <u>EY 271681402US</u>	
<input checked="" type="checkbox"/> Other <u>Ch 1 1046 for \$1,654.00</u>	
<u>Fee Transmitted</u>	

07-14-2003
U.S. Patent & TMO/TM Mail Rpt Dt #11

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PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,694.00

Complete if Known

Application Number	TBA
Filing Date	July 3, 2003
First Named Inventor	James W. Cady, et al
Examiner Name	TBA
Art Unit	TBA
Attorney Docket No.	254-094-CIP-5

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-0897

Andrews & Kurth LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 750

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	X 18	= 288
Independent Claims	7	-3** = 4	X 84 = 336
Multiple Dependent			280 = 280

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 904

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

SUBMITTED BY

Name (Print/Type) J. Scott Denke

Registration No. 37,606
(Attorney/Agent)

(Complete if applicable)

Telephone 512-320-9259

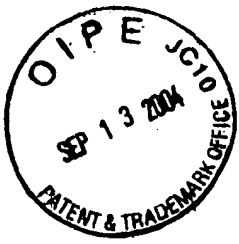
Signature

Date 07/11/03

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 0254-094-CIP-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO: 10/631,886
FILING DATE: 07/11/03
APPLICANT: James W. Cady et al
TITLE: Low Profile Chip Scale Stacking System and Method
EXAMINER: TBA
GROUP ART UNIT: 2831

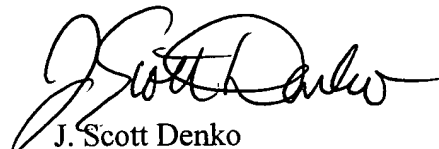
LARGE ENTITY ASSERTION

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

Please be advised that the subject patent application qualifies as a large entity. Kindly update the patent records to reflect its current status as a LARGE ENTITY.

Respectfully submitted,


J. Scott Denko
Registration No. 37,606

Andrews Kurth, L.L.P.
111 Congress Ave., Suite 1700
Austin, Texas 78701
512/320-9200 (office)
512/320-9292 (facsimile)

Date: September 13, 2004

09-14-04

1fw

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September 13, 2004

EXPRESS MAIL NO. EV434215576US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Application No.: 10/631,886
 Title: Low Profile Stacking System and Method
 Filing Date: 07/11/03
 Inventor: James W. Cady, et al
 Assignee: Staktek Group, L.P.
 Examiner: To be assigned
 Group: 2831
 Docket No. 0254-094-CIP-5

Dear Commissioner:

Please find enclosed the following items:

- 1) a Request For Corrected Filing Receipt;
- 2) a Large Entity Statement; and
- 3) a return postcard for confirmation of receipt.

The Commissioner is hereby authorized to charge any fees deemed to be due or credit any overpayment to Deposit Account No. 50-0897, upon which the undersigned is authorized to sign.

Please return the postcard confirming your receipt of the enclosed materials.

Very truly yours,

J. Scott Denko

JSD/ad
Enclosures